

SRL:SEC:SE:2021-22/61

January 12, 2022

The Manager  
Listing Department,  
National Stock Exchange of India Limited  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G-Block  
Bandra-Kurla Complex  
Bandra (East),  
Mumbai – 400 051  
**(Symbol: SPENCERS)**

The General Manager  
Department of Corporate Service,  
BSE Limited  
Phiroze Jeejeebhoy Tower  
Dalal Street  
Mumbai – 400 001  
**(Scrip Code: 542337)**

Dear Sir/Madam,

**Sub:- Shareholding pattern for the quarter ended December 31, 2021**

Pursuant to Regulation 31 of SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, we are enclosing herewith the shareholding pattern for the quarter ended December 31, 2021.

Kindly acknowledge receipt of the same.

Thanking you,  
**For Spencer's Retail Limited**





**Rama Kant**  
**Company Secretary & Compliance Officer**  
**(FCS 4818)**

**Spencer's Retail Limited**

Regd. Office: Duncan House, 31, Netaji Subhas Road, Kolkata-700 001  
Corp. Office: RPSG House, 2/4 Judges Court Road, Kolkata-700 027  
Tel: +91 33 2487 1091 Web: www.spencersretail.com  
CIN: L74999WB2017PLC219355

**Spencer's Retail Limited****Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1	Name of Listed Entity: Spencer's Retail Limited
2	Scrip Code/Name of Scrip/Class of Security: BSE:542337 AND NSE-SPENCERS
3	Share Holding Pattern Filed under: Reg. 31(1)(b) for the quarter ended December 2021
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sl.No.	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether company has equity shares with differential voting rights?		No
7	Whether the listed entity has any significant beneficial owner?		No

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**Spencer's Retail Limited**
**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	15	530,08,514	-	-	530,08,514	58.81	530,08,514	-	530,08,514	58.81	-	58.81	-	-	-	-	530,08,514
(B)	Public	62,320	370,03,495	-	-	370,03,495	41.05	370,03,495	-	370,03,495	41.05	-	41.05	-	-	-	-	362,54,648
(C)	Non Promoter - Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C1)	Shares Underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C2)	Shares Held By Employee Trust	1	1,20,000	-	-	1,20,000	0.13	1,20,000	-	1,20,000	-	-	0.13	-	-	-	-	1,20,000
	<b>TOTAL</b>	<b>62,336</b>	<b>901,32,009</b>	<b>-</b>	<b>-</b>	<b>901,32,009</b>	<b>100</b>	<b>901,32,009</b>	<b>-</b>	<b>901,32,009</b>	<b>100</b>	<b>-</b>	<b>100</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>893,83,162</b>

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**Spencer's Retail Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sl.No.	Category & Name of the shareholders	Entity Type	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
										No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
										Class eg: X	Class eg: y	Total								
	(I)		(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Indian</b>																			
<b>(a)</b>	<b>Individuals / Hindu Undivided Family</b>			<b>5</b>	<b>1,93,265</b>	-	-	<b>1,93,265</b>	<b>0.21</b>	<b>1,93,265</b>	-	<b>1,93,265</b>	<b>0.21</b>	-	<b>0.21</b>	-	-	-	-	<b>1,93,265</b>
	Sanjiv Goenka	Promoter	AEFPG4689G	1	91,659	-	-	91,659	0.10	91,659	-	91,659	0.10	-	0.10	-	-	-	-	91,659
	Shashwat Goenka	Promoter Group	AOUPG0553L	1	75,756	-	-	75,756	0.08	75,756	-	75,756	0.08	-	0.08	-	-	-	-	75,756
	Preeti Goenka	Promoter Group	AEFPG4690B	1	17,150	-	-	17,150	0.02	17,150	-	17,150	0.02	-	0.02	-	-	-	-	17,150
	Sanjiv Goenka Huf	Promoter Group	AAFHS9493E	1	8,360	-	-	8,360	0.01	8,360	-	8,360	0.01	-	0.01	-	-	-	-	8,360
	Avarna Jain	Promoter Group	AHFPG0255P	1	340	-	-	340	0.00	340	-	340	0.00	-	0.00	-	-	-	-	340
<b>(b)</b>	<b>Central Government / State Government(s)</b>			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>(c)</b>	<b>Financial Institutions / Banks</b>			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>(d)</b>	<b>Any Other (Specify)</b>			<b>10</b>	<b>528,15,249</b>	-	-	<b>528,15,249</b>	<b>58.60</b>	<b>528,15,249</b>	-	<b>528,15,249</b>	<b>58.60</b>	-	<b>58.60</b>	-	-	-	-	<b>528,15,249</b>
	<b>Bodies Corporate</b>			<b>10</b>	<b>528,15,249</b>	-	-	<b>528,15,249</b>	<b>58.60</b>	<b>528,15,249</b>	-	<b>528,15,249</b>	<b>58.60</b>	-	<b>58.60</b>	-	-	-	-	<b>528,15,249</b>
	Rainbow Investments Limited	Promoter	AAACR3915Q	1	396,04,042	-	-	396,04,042	43.94	396,04,042	-	396,04,042	43.94	-	43.94	-	-	-	-	396,04,042
	Stel Holdings Limited	Promoter Group	AADCS3332H	1	43,96,082	-	-	43,96,082	4.88	43,96,082	-	43,96,082	4.88	-	4.88	-	-	-	-	43,96,082
	Integrated Coal Mining Limited	Promoter Group	AAACI5584L	1	24,56,247	-	-	24,56,247	2.73	24,56,247	-	24,56,247	2.73	-	2.73	-	-	-	-	24,56,247
	Castor Investments Limited	Promoter Group	AAFCC9163J	1	23,90,661	-	-	23,90,661	2.65	23,90,661	-	23,90,661	2.65	-	2.65	-	-	-	-	23,90,661
	Bnk Capital Markets Ltd.	Promoter Group	AABCB4140N	1	17,41,508	-	-	17,41,508	1.93	17,41,508	-	17,41,508	1.93	-	1.93	-	-	-	-	17,41,508
	Phillips Carbon Black Limited	Promoter Group	AABCP5762E	1	11,46,613	-	-	11,46,613	1.27	11,46,613	-	11,46,613	1.27	-	1.27	-	-	-	-	11,46,613
	Saregama India Limited	Promoter Group	AAACT9815B	1	8,56,790	-	-	8,56,790	0.95	8,56,790	-	8,56,790	0.95	-	0.95	-	-	-	-	8,56,790
	Kolkata Metro Networks Limited	Promoter Group	AABCR2354Q	1	1,93,800	-	-	1,93,800	0.22	1,93,800	-	1,93,800	0.22	-	0.22	-	-	-	-	1,93,800
	Dotex Merchandise Private Limited	Promoter Group	AAACD9470A	1	28,107	-	-	28,107	0.03	28,107	-	28,107	0.03	-	0.03	-	-	-	-	28,107
	Lebnitz Real Estates Private Limited	Promoter Group	AAACL2133Q	1	1,399	-	-	1,399	0.00	1,399	-	1,399	0.00	-	0.00	-	-	-	-	1,399
	<b>Sub Total (A)(1)</b>			<b>15</b>	<b>530,08,514</b>	-	-	<b>530,08,514</b>	<b>58.81</b>	<b>530,08,514</b>	-	<b>530,08,514</b>	<b>58.81</b>	-	<b>58.81</b>	-	-	-	-	<b>530,08,514</b>
<b>2</b>	<b>Foreign</b>																			
<b>(a)</b>	<b>Individuals (Non-Resident Individuals / Foreign Individuals)</b>			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>(b)</b>	<b>Government</b>			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>(c)</b>	<b>Institutions</b>			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>(d)</b>	<b>Foreign Portfolio Investor</b>			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>(e)</b>	<b>Any Other (Specify)</b>			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	<b>Sub Total (A)(2)</b>			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>			<b>15</b>	<b>530,08,514</b>	-	-	<b>530,08,514</b>	<b>58.81</b>	<b>530,08,514</b>	-	<b>530,08,514</b>	<b>58.81</b>	-	<b>58.81</b>	-	-	-	-	<b>530,08,514</b>

*Promoter*



**Spencer's Retail Limited**

**Annexure to Table II- Details of Shares which remain unclaimed for Promoter & Promoter Group**

**Details of Shares which remain unclaimed for Promoter & Promoter Group**

Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	Voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
NIL				

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**Spencer's Retail Limited**
**Table III - Statement showing shareholding pattern of the Public shareholder**

Sl.No.	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
<b>1</b>	<b>Institutions</b>																		
(a)	Mutual Fund		6	4,03,811	-	-	4,03,811	0.45	4,03,811	-	4,03,811	0.45	-	-	-	-	-	4,02,170	
(b)	Venture Capital Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Alternate Investment Funds		1	5,00,000	-	-	5,00,000	0.55	5,00,000	-	5,00,000	0.55	-	-	-	-	-	5,00,000	
(d)	Foreign Venture Capital Investors		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e)	Foreign Portfolio Investor		26	68,53,632	-	-	68,53,632	7.60	68,53,632	-	68,53,632	7.60	-	-	-	-	-	68,49,912	
	India Insight Value Fund	AACCI6838F	1	30,06,000	-	-	30,06,000	3.34	30,06,000	-	30,06,000	3.34	-	-	-	-	-	30,06,000	
	Habrok India Master Lp	AAKFH3387F	1	15,53,698	-	-	15,53,698	1.72	15,53,698	-	15,53,698	1.72	-	-	-	-	-	15,53,698	
(f)	Financial Institutions / Banks		19	5,086	-	-	5,086	0.01	5,086	-	5,086	0.01	-	-	-	-	-	401	
(g)	Insurance Companies		6	17,96,246	-	-	17,96,246	1.99	17,96,246	-	17,96,246	1.99	-	-	-	-	-	17,96,126	
	Life Insurance Corporation Of India	AAACL0582H	1	13,26,769	-	-	13,26,769	1.47	13,26,769	-	13,26,769	1.47	-	-	-	-	-	13,26,769	
(h)	Provident Funds/ Pension Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(i)	Any Other (Specify)		5	9,584	-	-	9,584	0.01	9,584	-	9,584	0.01	-	-	-	-	-	554	
	Foreign Bank		5	9,584	-	-	9,584	0.01	9,584	-	9,584	0.01	-	-	-	-	-	554	
	<b>Sub Total (B)(1)</b>		<b>63</b>	<b>95,68,359</b>	<b>-</b>	<b>-</b>	<b>95,68,359</b>	<b>10.62</b>	<b>95,68,359</b>	<b>-</b>	<b>95,68,359</b>	<b>10.62</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>95,49,163</b>	
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																		
	Central Government / State Government(s)		2	6,301	-	-	6,301	0.01	6,301	-	6,301	0.01	-	-	-	-	-	5,010	
	<b>Sub Total (B)(2)</b>		<b>2</b>	<b>6,301</b>	<b>-</b>	<b>-</b>	<b>6,301</b>	<b>0.01</b>	<b>6,301</b>	<b>-</b>	<b>6,301</b>	<b>0.01</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5,010</b>	
<b>3</b>	<b>Non-Institutions</b>																		
(a)	Individuals																		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		58,823	153,91,521	-	-	153,91,521	17.08	153,91,521	-	153,91,521	17.08	-	-	-	-	-	150,12,465	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		47	41,81,910	-	-	41,81,910	4.64	41,81,910	-	41,81,910	4.64	-	-	-	-	-	41,81,910	
(b)	NBFCs registered with RBI		2	2,820	-	-	2,820	0.00	2,820	-	2,820	0.00	-	-	-	-	-	2,820	
	Trust Employee		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Overseas Depositories(holding DRs) (balancing figure)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Any Other (Specify)		3,383	78,52,584	-	-	78,52,584	8.71	78,52,584	-	78,52,584	8.71	-	-	-	-	-	75,03,280	
	IEPF		1	99,992	-	-	99,992	0.11	99,992	-	99,992	0.11	-	-	-	-	-	99,992	
	Trusts		8	45,975	-	-	45,975	0.05	45,975	-	45,975	0.05	-	-	-	-	-	45,975	
	Foreign Nationals		8	32,687	-	-	32,687	0.04	32,687	-	32,687	0.04	-	-	-	-	-	10,127	
	Hindu Undivided Family		1,509	10,43,967	-	-	10,43,967	1.16	10,43,967	-	10,43,967	1.16	-	-	-	-	-	10,43,868	
	Foreign Companies		28	58,220	-	-	58,220	0.06	58,220	-	58,220	0.06	-	-	-	-	-	-	
	Non Resident Indians (Non Repat)		310	2,86,154	-	-	2,86,154	0.32	2,86,154	-	2,86,154	0.32	-	-	-	-	-	2,86,154	
	Non Resident Indians (Repat)		846	7,98,071	-	-	7,98,071	0.89	7,98,071	-	7,98,071	0.89	-	-	-	-	-	5,45,049	
	Body Corp-Ltd Liability Partnership		29	12,06,658	-	-	12,06,658	1.34	12,06,658	-	12,06,658	1.34	-	-	-	-	-	12,06,658	
	Welspun Multiventures Llp	AACFW6209K	1	10,00,000	-	-	10,00,000	1.11	10,00,000	-	10,00,000	1.11	-	-	-	-	-	10,00,000	
	Unclaimed Shares		1	78,066	-	-	78,066	0.09	78,066	-	78,066	0.09	-	-	-	-	-	78,066	
	Clearing Member		75	1,10,244	-	-	1,10,244	0.12	1,10,244	-	1,10,244	0.12	-	-	-	-	-	1,10,244	
	Bodies Corporate		568	40,92,550	-	-	40,92,550	4.54	40,92,550	-	40,92,550	4.54	-	-	-	-	-	40,77,147	
	Ginni Finance Private Limited	AABCG7898J	1	9,03,000	-	-	9,03,000	1.00	9,03,000	-	9,03,000	1.00	-	-	-	-	-	9,03,000	
	<b>Sub Total (B)(3)</b>		<b>62,255</b>	<b>274,28,835</b>	<b>-</b>	<b>-</b>	<b>274,28,835</b>	<b>30.43</b>	<b>274,28,835</b>	<b>-</b>	<b>274,28,835</b>	<b>30.43</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>267,00,475</b>	
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>		<b>62,320</b>	<b>370,03,495</b>	<b>-</b>	<b>-</b>	<b>370,03,495</b>	<b>41.05</b>	<b>370,03,495</b>	<b>-</b>	<b>370,03,495</b>	<b>41.05</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>362,54,648</b>	

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**Spencer's Retail Limited**

**Annexure to Table III- A- Details of the shareholders acting as persons in Concert for Public**

**Details of the shareholders acting as persons in Concert for Public**

Serial No.	Name of the PAC	No of Shares	Percentage of shareholding by PAC
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NIL

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**Spencer's Retail Limited****Annexure to Table III- B Details of Shares which remain unclaimed for Public along with such details such as number of shareholders,****Details of Shares which remain unclaimed for Public**

Serial No.	Description	Number of Shareholders	Outstanding shares held in demat or unclaimed suspense account
1	Unclaimed Shares in Suspense Account	1	78066

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**Spencer's Retail Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Sl.No.	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		1	1,20,000	-	-	1,20,000	0.13	1,20,000	-	1,20,000	0.13	-	0.13	-	-	-	-	1,20,000
	<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>		<b>1</b>	<b>1,20,000</b>	<b>-</b>	<b>-</b>	<b>1,20,000</b>	<b>0.13</b>	<b>1,20,000</b>	<b>-</b>	<b>1,20,000</b>	<b>0.13</b>	<b>-</b>	<b>0.13</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,20,000</b>

*Permanent*



**Spencer's Retail Limited****Table V - Statement showing details of significant beneficial owners**

Sr. No.	Detail of Significant Beneficial Owner- I			Detail of Registered Owner -II			Particulars of shares in which significant beneficial interest is held by beneficial owner -III		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
NIL									

Remarques

